

**PATENT**  
Attorney Docket No. CTX-073  
(1545/127)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: STUTZ et al.  
SERIAL NO.: 09/891,822 GROUP NO.: 2152  
FILING DATE: June 26, 2001 EXAMINER: Not Yet Assigned  
TITLE: METHODS AND APPARATUS FOR LOAD BALANCED  
INFORMATION AGGREGATION AND PRESENTATION

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on this 31st day of January 2002.

Irja Zarembok

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are:

Transmittal Form (1 pg.);  
Fee Transmittal Form (1 pg.)  
Check No. 204571 in the amount of 1,570.00;  
Petition for Extension of Time Under 37 CFR 1.136(a) (1 pg.);  
Copy of Notice to File Missing Parts of Nonprovisional Application (1 pg.)  
Copy of Executed Declaration and Power of Attorney for Utility Patent Application (7 pgs.); and  
return receipt postcard

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**COPY OF PAPERS  
ORIGINALLY FILED**

2272569



# TRANSMITTAL FORM

Application Serial Number	09/891,822
Filing Date	June 26, 2001
First Named Inventor	STUTZ
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	CTX-073 (1545/127)
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

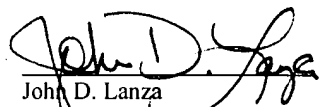
<input checked="" type="checkbox"/> Fee Transmittal Form (1 pg.) <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson Including Drawings [Total Sheets ____]  <input checked="" type="checkbox"/> Petition for Extension of Time (1 pg.)  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)  <input type="checkbox"/> Formal Drawing(s)  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application (7 pgs.)  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8  <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input type="checkbox"/> Additional Enclosure(s) (please identify below)  <div style="text-align: center;"><b>RECEIVED</b> <b>MAR 19 2002</b> <b>Technology Center 2600</b></div>
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ORIGINAL FILED

### CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

### SIGNATURE BLOCK

Respectfully submitted,  
  
John D. Lanza  
Attorney for Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
  
Date: January 31, 2002  
Reg. No.: 40,060  
Tel. No.: (617) 248-7604  
Fax No.: (617) 248-7100

# **FEE TRANSMITTAL**

FY 2001

FEB 12 2002

Complete if Known

Application Serial Number	09/891,822
Filing Date	June 26, 2001
First Named Inventor	STUTZ
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	CTX-073 (1545/127)

## **METHOD OF PAYMENT**

- ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
- ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
- ☐ Applicant claims small entity status.

## **FEE CALCULATION**

### **1. FILING FEE**

#### **Large Entity**

Fee (\$)	Fee Description	Fee Paid
710	Utility filing fee	
320	Design filing fee	
150	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
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Total Claims - 20 = x \$ 18.00 =

Independent Claims 3 - 3 = 0 x \$ 80.00 =

☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL:  
 SMALL ENTITY DISCOUNT:  
 SUBTOTAL (1) (\$) 0.00

### **2. AMENDMENT CLAIM FEES**

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 80.00 =

☐ First Presentation of Multiple Dep. Claim + \$270.00 =

TOTAL: (\$)  
 SMALL ENTITY DISCOUNT: (\$)  
 SUBTOTAL (2) (\$) 0.00

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	1,390.00	Extension for reply within fourth month	1,440.00
1,960	980	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional Applications	
180	180	Submission of Information Disclosure Statement	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (Specify)

Other fee (Specify)

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SUBTOTAL (3) (\$) 1,570.00

SUBTOTAL (1) 0.00  
 SUBTOTAL (2) 0.00  
 SUBTOTAL (3) 1,570.00

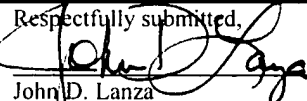
**TOTAL (\$)** 1,570.00

## **CORRESPONDENCE ADDRESS**

Direct all correspondence to:  
 Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## **SIGNATURE BLOCK**

Date: January 31, 2002  
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Respectfully submitted,  
  
 John D. Lanza  
 Attorney for the Applicants  
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 Boston, MA 02110



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/891.822	06/26/2001		CTX-073

021323

TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110



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CONFIRMATION NO. 2651

FORMALITIES LETTER



\*OC000000006429396\*

Date Mailed: 08/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

KSAYSON  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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